

A Appendices

A.1 Detailed Dataset introduction

CNN/DailyMail The CNN/DailyMail question answering dataset (Hermann et al., 2015) modified by Nallapati et al. (2016) is commonly used for summarization. The dataset consists of online news articles with paired human-generated summaries. For the data preprocessing, we use the non-anonymized data as See et al. (2017), which doesn’t replace named entities.

XSUM XSUM (Narayan et al., 2018) is a dataset consists of the articles and the single-sentence answers of the question “What is the article about?” as summary. It is more abstractive compared with CNN/DailyMail.

PUBMED PUBMED (Cohan et al., 2018) is drawn from scientific papers specifically medical journal articles from the PubMed Open Access Subset. We use the introduction as source document and the abstract as summary here.

BIGPATENT BIGPATENT (Sharma et al., 2019) consists of 1.3 million records of U.S. patent documents and the corresponding summaries are created by human. According to Cooperative Patent Classification (CPC), the dataset is divided to nine categories. One of the nine categories is chosen as a dataset in difference domain in our experiment (Category B: Performing Operations; Transporting).

REDDIT TIFU REDDIT TIFU (Kim et al., 2019) is a dataset with less formal posts compared with datasets mentioned above which mostly use formal documents as source. It is collected from the online discussion forum Reddit. They regard the body text as source, the title as short summary, and the TL;DR summary as long summary, thus making two sets of datasets: TIFU-short and TIFU-long. TIFU-long is used in this paper.

A.2 Dataset statistics

The detailed dataset statistics are presented in table 1

A.3 Experimental setup

A.3.1 Extractive Summarizers

We use the same training setup in (Zhong et al., 2019). We use cross entropy as loss function to train $LSTM_{non}$ and $Trans_{auto}$. The hidden state dimension of LSTM in $LSTM_{non}$ is set to 512 and the

Datasets	Statistics	Topics	Oracle	Lead-k
CNNDM	2,764/123/107M	News	55.21	40.32
Xsum	1126/60/59M	News	30.41	16.38
Pubmed	644/36/38M	Scientific	46.21	37.52
BigPatent B	4,812/265/262M	Patents	51.53	31.85
Reddit	206/3.3/3.6M	Posts	36.47	11.09

Table 1: Detailed statistics of five datasets. Lead- k indicates ROUGE-1 F1 score of the first k sentences in the document and Oracle indicates the globally optimal combination of sentences in terms of ROUGE-1 F1 scores with ground truth, the latter represents the upper bound of extractive models.

hidden state dimension of Transformer in $Trans_{auto}$ is 2048. We use Transformer with 8 heads.

$BERT_{non}$ and $Trans_{non}$ is constructed according to Liu and Lapata (2019). All documents and summaries are truncated to 512 tokens when training. $BERT_{non}$ and $Trans_{non}$ are trained for 50000 steps, the gradient is accumulated every two steps. We use Adam as optimizer and the learning rate is set to $2e-3$.

$BERT_{match}$ is trained as in Zhong et al. (2020). It uses the base version of BERT as base model. We use Adam optimizer with warming up. The learning rate schedule follows Vaswani et al. (2017).

A.3.2 Abstractive Summarizers

$L2L$, $L2L_{ptr}$ and $L2L_{ptr}^{cov}$ are trained using the pytorch reproduced version code of See et al. (2017). We use the same size of vocabulary(50k), hidden state dimension (256) and word embedding dimension (128) as in the paper. All of three models are trained with 650000 maximum training steps, We use Adagrad to train the models with learning rate of 0.15.

$BE2T$ and $T2T$ is constructed according to Liu and Lapata (2019). We use two separate optimizers for the decoder and encoder regarding $BE2T$ to offset the mismatch of encoder and decoder, since the former is pre-trained while the latter is not. Learning rates for the optimizers of encoder and decoder are 0.002 and 0.2 respectively. On the other hand, $BE2T$ and $T2T$ are trained with gradient accumulation every five steps, training step for which is 200000.

$BART$ uses the large pre-trained sequence to sequence model in Lewis et al. (2019). The total learning step when fine-tuning is set to 20000 with 500 steps warming up. We use Adam as optimizer and learning rate is $3e-05$.

Models		CNNDM			XSUM			PubMed			Bigpatent b			Reddit		
		R1	R2	RL	R1	R2	RL	R1	R2	RL	R1	R2	RL	R1	R2	RL
Ext.	LSTM _{non}	41.36	18.81	37.73	19.51	3.10	14.50	42.98	16.59	38.28	39.29	13.07	32.61	20.46	5.05	16.33
	Trans _{non}	40.84	18.23	37.09	15.74	1.67	11.58	38.45	13.28	34.16	34.41	10.05	28.75	16.25	2.60	12.57
	Trans _{auto}	41.35	18.77	37.75	19.29	2.80	14.21	42.74	16.34	38.05	38.76	12.60	32.17	18.55	3.44	14.62
	BERT _{non}	42.69	19.88	38.99	21.76	4.24	16.00	38.74	13.62	34.48	35.85	11.05	29.97	21.84	5.21	17.15
	BERT _{match}	44.26	20.58	40.40	24.97	4.76	18.48	41.19	14.91	36.73	38.89	12.82	32.48	25.32	6.16	20.17
Abs.	L2L	32.80	12.84	30.34	28.31	8.71	22.30	27.84	7.45	25.69	30.46	9.76	27.61	16.89	1.24	13.63
	L2L _{ptr}	37.06	15.96	33.74	29.67	9.58	23.40	32.04	10.38	28.97	31.03	9.92	25.35	21.32	4.46	17.14
	L2L _{cov ptr}	39.95	17.54	36.25	28.83	8.83	22.62	35.27	11.89	31.92	35.90	12.31	32.78	21.28	4.39	17.22
	T2T	39.90	17.66	37.08	29.01	9.13	22.77	30.71	8.10	27.97	42.94	16.75	37.06	19.96	3.36	15.60
	BE2T	41.34	18.98	38.41	38.99	16.64	31.23	37.11	13.38	33.72	43.10	17.11	37.34	26.66	7.00	21.21
	BART	44.75	21.69	41.46	44.73	21.99	37.02	45.02	16.94	41.17	45.78	18.31	38.98	34.00	11.88	26.91

Table 2: Representative summarizers we have studied in this paper and their correspond performance (ROUGE-1 F1, ROUGE-2 F1, ROUGE-L F1) on different datasets.

A.4 In-dataset ROUGE results for all models

Tab. 2 displays in-dataset ROUGE-1 F1, ROUGE-2 F1, ROUGE-L F1 scores.

A.5 The ROUGE-1 F1 score difference of all model pairs which are meaningful to compare

The holistic and fine-grained results of pair-wise comparison are displayed in Tab. 5.

A.6 Cross-dataset factcc results of all models

The cross-dataset factcc results for abstractive models are shown in Fig. 3 and the factcc results of extractive models are demonstrated in Fig. 4.

A.7 Code urls

A.7.1 Training code urls

The models and their training code urls are listed below:

LSTM_{non} and Trans_{auto} are trained from the code in Zhong et al. (2019), the code url is https://github.com/maszhongming/Effective_Extractive_Summarization.

We use the code from Liu and Lapata (2019) for BERT_{non}, Trans_{non}, BE2T and T2T. Code url is <https://github.com/nlpyang/PreSumm>.

BERT_{match} uses the code from Zhong et al. (2020) and the code url is <https://github.com/maszhongming/MatchSum>.

L2L, L2L_{ptr} and L2L_{ptr}^{cov} are trained from the code of See et al. (2017), code url is https://github.com/atulkum/pointer_summarizer.

We use code in fairseq (Ott et al., 2019) to fine-tune BART, the code url is <https://github.com/pytorch/fairseq/tree/master/examples/bart>.

A.7.2 Evaluation code urls

The evaluation metrics code urls are listed below:

We use pyrouge (<https://github.com/bheinzerling/pyrouge>) to evaluate the ROUGE performance of models.

The url for Factcc (Kryściński et al., 2019) is <https://github.com/salesforce/factCC>.

The url for other metrics for dataset bias is <https://github.com/zide05/Data-bias-metrics>.

References

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ABS models	L2L					L2L _{ptr}					L2L _{ptr} ^{conv}					T2T					BE2T					BART										
	CNN	XSUM	Pubm.	Patent B	Red.	CNN	XSUM	Pubm.	Patent B	Red.	CNN	XSUM	Pubm.	Patent B	Red.	CNN	XSUM	Pubm.	Patent B	Red.	CNN	XSUM	Pubm.	Patent B	Red.	CNN	XSUM	Pubm.	Patent B	Red.						
	avg					avg					avg					avg					avg					avg										
CNN	68.6	71.1	73.3	69.9	53.9	67.4	89.4	91.3	92.2	91.7	83.5	89.6	95.9	94.5	90.9	96.9	94.6	94.6	72.4	75.7	71.5	71.8	70.5	72.4	78.7	83.9	87.7	92.1	78.7	84.2	69.9	77.9	87.4	84.1	90.2	81.9
XSUM	13.4	23.5	18.1	13.2	31.0	19.8	6.3	17.8	9.0	8.2	23.2	12.9	7.4	18.1	11.0	7.6	6.5	10.1	9.7	22.6	10.8	9.9	19.1	14.4	14.5	21.1	29.8	8.7	31.3	21.1	35.5	24.7	36.1	50.1	50.7	39.4
Pubm.	61.0	70.0	62.8	78.6	46.6	63.8	77.6	80.7	81.5	75.1	85.9	80.2	70.7	75.6	76.6	67.9	75.4	73.2	58.5	59.3	56.2	72.3	34.9	56.2	55.4	58.7	70.8	71.7	56.4	62.6	69.5	61.5	58.4	61.3	94.1	69.0
Patent B	94.4	94.3	89.0	71.9	91.0	88.1	65.2	60.3	70.9	62.8	71.0	66.0	67.0	63.3	64.6	61.6	77.4	66.8	79.2	81.2	84.4	68.7	73.9	77.5	85.4	88.4	80.3	66.5	82.0	80.6	52.1	53.8	69.0	47.4	76.8	63.8
Red.	20.9	40.2	11.1	13.2	50.9	27.3	37.2	21.5	55.2	62.6	61.1	47.5	27.4	23.5	42.9	49.7	62.2	41.1	34.8	35.7	50.6	44.6	52.5	43.6	17.2	25.7	25.1	30.0	50.3	29.6	59.6	30.3	69.1	49.3	44.2	54.5
avg	51.7	59.8	50.9	49.4	54.7	53.3	55.2	54.3	61.8	60.1	65.0	59.2	53.7	55.0	57.2	56.7	63.2	57.2	50.9	54.9	54.7	53.5	50.2	52.8	50.2	55.6	58.7	53.8	59.8	55.6	57.3	53.6	64.0	62.4	71.2	61.7

Table 3: factcc result for Abstractive models

EXT models	LSTM _{non}						Trans _{non}						Trans _{auto}						BERT _{non}						BERT _{match}					
	CNN	XSUM	Pubm.	Patent B	Red.	avg	CNN	XSUM	Pubm.	Patent B	Red.	avg	CNN	XSUM	Pubm.	Patent B	Red.	avg	CNN	XSUM	Pubm.	Patent B	Red.	avg	CNN	XSUM	Pubm.	Patent B	Red.	avg
CNN	99.2	99.9	96.0	99.1	95.2	97.9	100.0	100.0	98.0	99.1	100.0	99.4	98.1	100.0	91.3	93.5	100.0	96.6	99.6	99.9	97.3	98.2	98.6	98.7	99.8	99.4	92.9	95.7	99.1	97.4
XSUM	84.1	94.3	90.3	81.4	94.1	88.9	99.8	100.0	97.4	98.2	100.0	99.1	86.8	99.3	82.9	69.9	100.0	87.8	98.4	99.7	96.6	95.7	99.9	98.1	99.7	99.5	93.2	95.1	98.8	97.3
Pubm.	70.5	84.3	80.8	65.1	89.0	77.9	97.7	98.8	95.1	94.7	100.0	97.3	87.5	99.6	79.0	64.4	99.7	86.1	95.3	99.3	95.1	94.3	99.5	96.7	99.7	99.2	93.1	95.2	99.3	97.3
Patent B	86.1	96.0	90.9	74.1	96.0	88.6	98.3	99.8	96.3	97.4	99.5	98.3	90.7	99.8	85.5	68.8	99.7	88.9	97.0	99.0	96.0	94.8	99.1	97.2	99.7	99.0	93.0	94.5	98.4	96.9
Red.	81.0	92.1	86.9	64.6	90.2	83.0	90.3	94.1	94.1	86.7	96.3	92.3	79.4	98.7	79.6	56.4	98.1	82.5	97.0	98.9	95.3	91.9	98.8	96.4	99.7	99.3	93.1	96.1	99.3	97.5
avg	84.2	93.3	89.0	76.8	92.9	87.2	97.2	98.6	96.2	95.2	99.2	97.3	88.5	99.5	83.7	70.6	99.5	88.4	97.5	99.4	96.1	95.0	99.2	97.4	99.7	99.3	93.0	95.3	99.0	97.3

Table 4: factcc result for Extractive models

analysis aspect							Architecture																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
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compare models							Trans _{non} vs. LSTM _{non}				Trans _{auto} vs. Trans _{non}				BERT _{match} vs. BERT _{non}				BERT _{non} vs. Trans _{non}				LSTM _{non} vs. L2L				Trans _{non} vs. T2T				BERT _{non} vs. BE2T																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
holistic analysis							stiff.: 28.02 vs. 28.51 stable.: 99.05 vs. 87.00				stiff.: 28.51 vs. 28.02 stable.: 88.71 vs. 99.05				stiff.: 32.27 vs. 28.98 stable.: 91.98 vs. 88.93				stiff.: 28.98 vs. 28.02 stable.: 88.93 vs. 99.05				stiff.: 28.51 vs. 18.03 stable.: 87.00 vs. 66.93				stiff.: 28.02 vs. 19.79 stable.: 99.05 vs. 62.12				stiff.: 28.98 vs. 23.49 stable.: 88.93 vs. 62.93																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
fine-grain analysis							CNN.	Xsum	Pubm.	Patent b	Red.	avg	CNN.	Xsum	Pubm.	Patent b	Red.	avg	CNN.	Xsum	Pubm.	Patent b	Red.	avg	CNN.	Xsum	Pubm.	Patent b	Red.	avg	CNN.	Xsum	Pubm.	Patent b	Red.	avg																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
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		Xsum	32	-38	-25	42	28	08	-32	35	48	07	03	-06	29	32	35	18	16	57	34	10	61	01	-16	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-6	47	06	11	-

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